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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 09712-162001	Application No. Not Yet Assigned		
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small>				Applicant STEPHEN K. LASECKI, DAVID KINDLER, JOHN BERG, MICHAEL CHUNG AND DAVE VOLFSON			
(37 CFR §1.98(b))				Filing Date November 2, 2001	Group Art Unit		
U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
JKS	AA	5,179,609	01/12/1993	Blonder et al.	385	89	08/30/1991
JKS	AB	5,574,811	11/12/1996	Bricheno et al.	385	52	07/26/1995
JKS	AC	6,118,917	09/12/2000	Lee et al.	385	49	07/30/1998
	AD						
	AE						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes
	AF						
	AG						
	AH						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
JKS	AI ✓	“Single Fiber Collimator” WWW.CASIX.com/Fibert/Fibert008-1.HTML					
JKS	AJ /	S. Kaneko et al. “Novel Fiber Alignment Method Using a Partially Metal-Coated Fiber in a Silicon V-Groove”, IEEE Photonics Technology Letters, Vol., 12 No. 6, June 2000					
	AK						
	AL						

Examiner Signature <i>JKS</i>	Date Considered <i>10/15/02</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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OPIE
JCTCSubstitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
09712-162001Application No.
10/053,225**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Steve Lasecki et al.Filing Date
November 2, 2001Group Art Unit
Unknown**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
SVJ	AA	5,857,047	01/05/1999	Strand et al.	385	88	
SJL	AB	5,871,559	02/16/1999	Bloom	65	501	
SJL	AC	6,003,341	12/21/1999	Bloom	65	484	
	AD						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Strand, Sjeng

Date Considered

5 Dec 02

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09712-162001	Application No. Not Yet Assigned
Information Disclosure Statement by Applicant MAR 13 2002 Use several sheets if necessary		Applicant Steve Lasecki et al.	
		Filing Date November 2, 2001	Group Art Unit
37 CFR §1.98(e) TRADEMARK FILING			

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
SVS	AA	US2001/0041026A1	11/15/2001	Steinberg et al.	365	20	—
	AB						
	AC						
	AD						
	AE						
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	AH						
	AI						
	AJ						
	AK						

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steve lasecki

Date Considered

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
09712-162001Application No.
10/053,225**Information Disclosure Statement
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(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Steve Lasecki et al.Filing Date
November 2, 2001Group Art Unit
2874**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
8V68	AA	5,257,332	10/1993	Pimpinella	385	59	—
8V68	AB	6,064,781	05/2000	Seibold et al.	385	14	—
8V68	AC	6,136,411	10/2000	Dautartas	428	141	—
	AD						
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	AK						

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